

第十二章： Monads and More

Functors

Applicatives

Monads

Genericity/通用性

- Level 1: **Polymorphic** Functions (over types)

`length1 :: List a -> Int`

- Level 2: **Generic** Functions (over type constructors)

`length2 :: t a -> Int`

FUNCTORS (函子)



计算的抽象

```
inc :: [Int] -> [Int]
inc []      = []
inc (n:ns)  = n+1 : inc ns
```

```
sqr :: [Int] -> [Int]
sqr []      = []
sqr (n:ns)  = n^2 : sqr ns
```



抽象

```
map :: (a -> b) -> [a] -> [b]
map f []      = []
map f (x:xs)  = f x : map f xs
```

$inc = map (+1)$

$sqr = map (^2)$

Abstraction over Parameterized Types

```
class Functor f where  
  fmap :: (a -> b) -> f a -> f b
```

fmap takes a function of type $a \rightarrow b$ and a structure of type $f\ a$ whose elements have type a , and applies the function to each such element to give a structure of type $f\ b$ whose elements now have type b .

```
instance Functor [] where
```

```
-- fmap :: (a -> b) -> [a] -> [b]
```

```
fmap = map
```

```
Prelude> fmap (+1) [1,2,3]  
[2,3,4]
```

```
Prelude> fmap (^2) [1,2,3]  
[1,4,9]
```

```
data Maybe a = Nothing | Just a
```

```
instance Functor Maybe where
```

```
-- fmap :: (a -> b) -> Maybe a -> Maybe b
```

```
fmap _ Nothing = Nothing
```

```
fmap g (Just x) = Just (g x)
```

```
Prelude> fmap (+1) (Just 3)  
Just 4
```

```
Prelude> fmap (+1) Nothing  
Nothing
```

```
Prelude> fmap not (Just False)  
Just True
```

```
data Tree a = Leaf a | Node (Tree a) (Tree a)
                deriving Show
instance Functor Tree where
  -- fmap :: (a -> b) -> Tree a -> Tree b
  fmap g (Leaf x)      = Leaf (g x)
  fmap g (Node l r)   = Node (fmap g l) (fmap g r)
```

```
Prelude> fmap length (Leaf "abc")
Leaf 3
```

```
Prelude> fmap even (Node (Leaf 1) (Leaf 2))
Node (Leaf False) (Leaf True)
```



```
instance Functor IO where
```

```
-- fmap :: (a -> b) -> IO a -> IO b
```

```
fmap g mx = do x <- mx
```

```
            return (g x)
```

```
Prelude> fmap show (return True)  
"True"
```

Generic Function Definition

```
inc :: Functor f => f Int -> f Int
inc = fmap (+1)
```

```
> inc (Just 1)
Just 2
```

```
> inc [1,2,3,4,5]
[2,3,4,5,6]
```

```
> inc (Node (Leaf 1) (Leaf 2))
Node (Leaf 2) (Leaf 3)
```

Functor Laws

```
fmap id      = id
fmap (f . g) = fmap f . fmap g
```

They ensure that fmap does indeed perform a mapping operation.

- ❖ For any parameterized type in Haskell, there is at most one function fmap that satisfies the required laws.
 - That is, if it is possible to make a given parameterized type into a functor, there is only one way to achieve this.
 - Hence, the instances that we defined for lists, Maybe, Tree and IO were all uniquely determined.



Applicative Functors

APPLICATIVES



如何定义一个一般性的fmap?

`fmap0 :: a -> f a`

`fmap1 :: (a -> b) -> f a -> f b`

`fmap2 :: (a -> b -> c) -> f a -> f b -> f c`

`fmap3 :: (a -> b -> c -> d) -> f a -> f b -> f c -> f d`

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- Idea: 准备两个基本函数

```
pure :: a -> f a
```

```
(<*>) :: f (a -> b) -> f a -> f b
```

```
fmap0 :: a -> f a
```

```
fmap0 = pure
```

```
fmap1 :: (a -> b) -> f a -> f b
```

```
fmap1 g x = pure g <*> x
```

```
fmap2 :: (a -> b -> c) -> f a -> f b -> f c
```

```
fmap2 g x y = pure g <*> x <*> y
```

```
fmap3 :: (a -> b -> c -> d) -> f a -> f b -> f c -> f d
```

```
fmap3 g x y z = pure g <*> x <*> y <*> z
```

Applicative Functor

```
class Functor f => Applicative f where  
  pure :: a -> f a  
  (<*>) :: f (a -> b) -> f a -> f b
```

```
instance Applicative Maybe where
```

```
  -- pure :: a -> Maybe a
```

```
  pure = Just
```

```
  -- (<*>) :: Maybe (a -> b) -> Maybe a -> Maybe b
```

```
  Nothing <*> _ = Nothing
```

```
  (Just g) <*> mx = fmap g mx
```

```
> pure (+1) <*> Just 1  
Just 2
```

```
> pure (+) <*> Just 1 <*> Just 2  
> Just 3
```

```
> pure (+) <*> Nothing <*> Just 2  
Nothing
```



```
instance Applicative [] where
```

```
-- pure :: a -> [a]
```

```
pure x = [x]
```

```
-- (<*>) :: [a -> b] -> [a] -> [b]
```

```
gs <*> xs = [g x | g <- gs, x <- xs]
```

```
> pure (+1) <*> [1,2,3]  
[2,3,4]
```

```
> pure (+) <*> [1] <*> [2]  
[3]
```

```
> pure (*) <*> [1,2] <*> [3,4]  
[3,4,6,8]
```

the applicative style for lists
supports a form of
non-deterministic programming

```
instance Applicative IO where
```

```
-- pure :: a -> IO a
```

```
pure = return
```

```
-- (<*>) :: IO (a -> b) -> IO a -> IO b
```

```
mg <*> mx = do {g <- mg; x <- mx; return (g x)}
```

```
getChars :: Int -> IO String
```

```
getChars 0 = return []
```

```
getChars n = pure (:) <*> getChar <*> getChars (n-1)
```

Effectful Programming/Generic Programming

Effectful Programming

Applicative functors can also be viewed as abstracting the idea of **applying pure functions to effectful arguments**, with the **precise form of effects** that are permitted depending on the nature of the **underlying functor**.

Generic Programming

`sequenceA :: Applicative f => [f a] -> f [a]`

`sequenceA [] =`

`sequenceA (x:xs) =`

Effectful Programming/Generic Programming

Effectful Programming

Applicative functors can also be viewed as abstracting the idea of **applying pure functions to effectful arguments**, with the **precise form of effects** that are permitted depending on the nature of the **underlying functor**.

Generic Programming

$\text{sequenceA} :: \text{Applicative } f \Rightarrow [f\ a] \rightarrow f\ [a]$

$\text{sequenceA } [] = \text{pure } []$

$\text{sequenceA } (x:xs) = \text{pure } (:) \langle * \rangle x \langle * \rangle \text{sequenceA } xs$

Applicative Law

$$\text{pure id } \langle * \rangle x = x$$

$$\text{pure } (g \ x) = \text{pure } g \ \langle * \rangle \ \text{pure } x$$

$$x \ \langle * \rangle \ \text{pure } y = \text{pure } (\backslash g \ -> g \ y) \ \langle * \rangle \ x$$

$$x \ \langle * \rangle \ (y \ \langle * \rangle \ z) = (\text{pure } (.) \ \langle * \rangle \ x \ \langle * \rangle \ y) \ \langle * \rangle \ z$$

MONADS



异常处理

```
data Expr = Val Int | Div Expr Expr  
  
eval :: Expr -> Int  
eval (Val n) = n  
eval (Div x y) = eval x 'div' eval y
```

```
> eval (Div (Val 1) (Val 0))  
*** Exception: divide by zero
```

解决方法1

```
safediv :: Int -> Int -> Maybe Int
safediv _ 0 = Nothing
safediv n m = Just (n 'div' m)
```

```
eval :: Expr -> Maybe Int
eval (Val n)    = Just n
eval (Div x y) = case eval x of
  Nothing -> Nothing
  Just n   -> case eval y of
    Nothing -> Nothing
    Just m   -> safediv n m
```

繁杂

解决方法2

```
safediv :: Int -> Int -> Maybe Int  
safediv _ 0 = Nothing  
safediv n m = Just (n 'div' m)
```

```
eval :: Expr -> Maybe Int  
eval (Val n) = pure n  
eval (Div x y) = pure safediv <*> eval x <*> eval y
```

类型不正确

问题： applicative functor 只允许纯函数作用在有副作用的参数上

- 引入新的操作 **bind**

```
(>>=) :: Maybe a -> (a -> Maybe b) -> Maybe b  
mx >>= f = case mx of  
    Nothing -> Nothing  
    Just x   -> f x
```

```
eval :: Expr -> Maybe Int  
eval (Val n)    = Just n  
eval (Div x y) = eval x >>= \n ->  
                  eval y >>= \m ->  
                  safediv n m
```

- 引入 **do** 语法糖

```
(>>=) :: Maybe a -> (a -> Maybe b) -> Maybe b  
mx >>= f = case mx of  
    Nothing -> Nothing  
    Just x   -> f x
```

```
eval :: Expr -> Maybe Int  
eval (Val n)    = Just n  
eval (Div x y) = eval x >>= \n ->  
                  eval y >>= \m ->  
                  safediv n m
```

```
eval :: Expr -> Maybe Int  
eval (Val n)    = Just n  
eval (Div x y) = do n <- eval x  
                  m <- eval y  
                  safediv n m
```

Monads

```
class Applicative m => Monad m where  
  return  :: a -> m a  
  (>>=)   :: m a -> (a -> m b) -> m b  
  
  return = pure
```

```
instance Monad Maybe where  
  -- (>>=) :: Maybe a -> (a -> Maybe b) -> Maybe b  
  Nothing >>= _ = Nothing  
  (Just x) >>= f = f x
```

Monads

```
class Applicative m => Monad m where  
  return  :: a -> m a  
  (>>=)   :: m a -> (a -> m b) -> m b  
  
  return = pure
```

```
instance Monad [] where  
  -- (>>=) :: [a] -> (a -> [b]) -> [b]  
  xs >>= f = [y | x <- xs, y <- f x]
```

例：The State Monad

- 问题：如何用函数描述状态的变换？

- 状态：一个数据结构

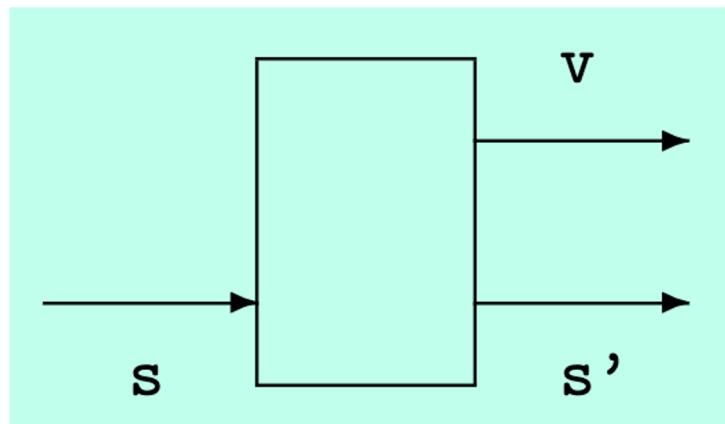
`type State = Int` -- 仅仅是一个示例；需要根据具体问题确定状态的类型

- 状态变换器

`type ST = State -> State`

- 带有结果的状态变换器

`type ST a = State -> (a, State)`



例：The State Monad

- 用 newtype 定义 ST:

```
newtype ST a = S (State -> (a, State))
```

```
app :: ST a -> State -> (a, State)
```

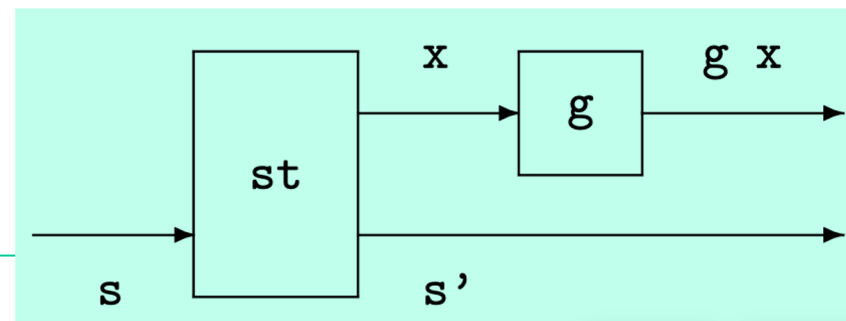
```
app (S st) x = st x
```

- 定义 functor

```
instance Functor ST where
```

```
-- fmap :: (a -> b) -> ST a -> ST b
```

```
fmap g st = S
```



例：The State Monad

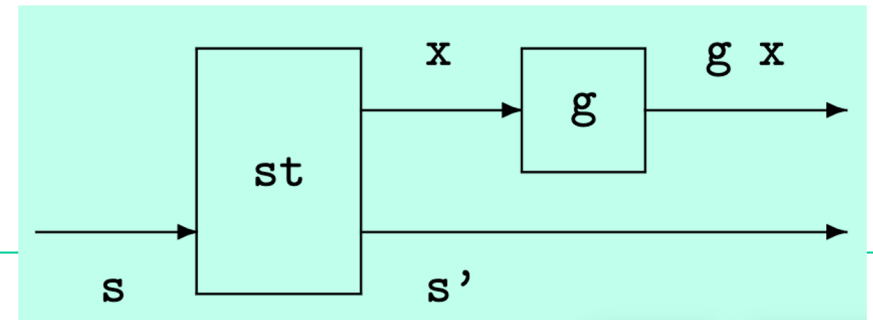
- 用 newtype 定义 ST:

```
newtype ST a = S (State -> (a, State))
```

```
app :: ST a -> State -> (a, State)
```

```
app (S st) x = st x
```

- 定义 functor



```
instance Functor ST where
```

```
-- fmap :: (a -> b) -> ST a -> ST b
```

```
fmap g st = S (\s -> let (x, s') = app st s in (g x, s'))
```


例：The State Monad

- Applicative

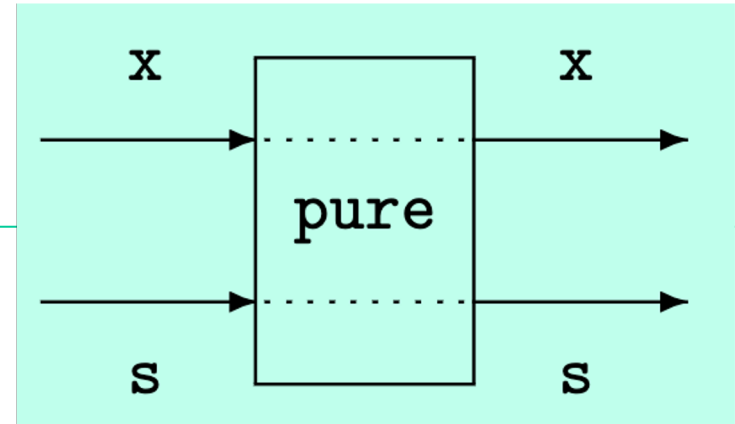
```
instance Applicative ST where
```

```
-- pure :: a -> ST a
```

```
pure x =
```

```
-- (<*>) :: ST (a -> b) -> ST a -> ST b
```

```
stf <*> stx =
```



例：The State Monad

- Applicative

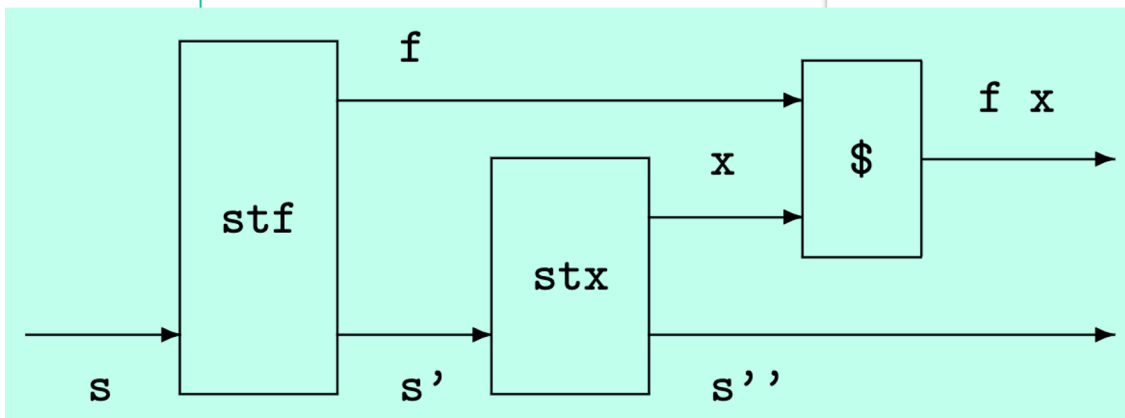
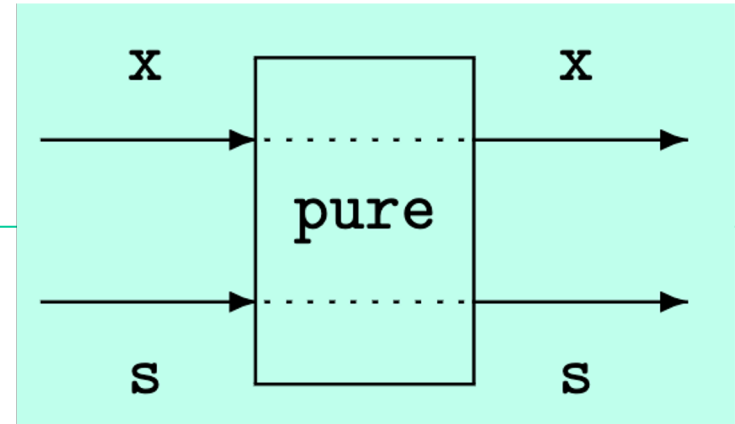
instance Applicative ST where

-- pure :: a -> ST a

pure x = S (\s -> (x,s))

-- (<*>) :: ST (a -> b) -> ST a -> ST b

stf <*> stx =



例：The State Monad

- Applicative

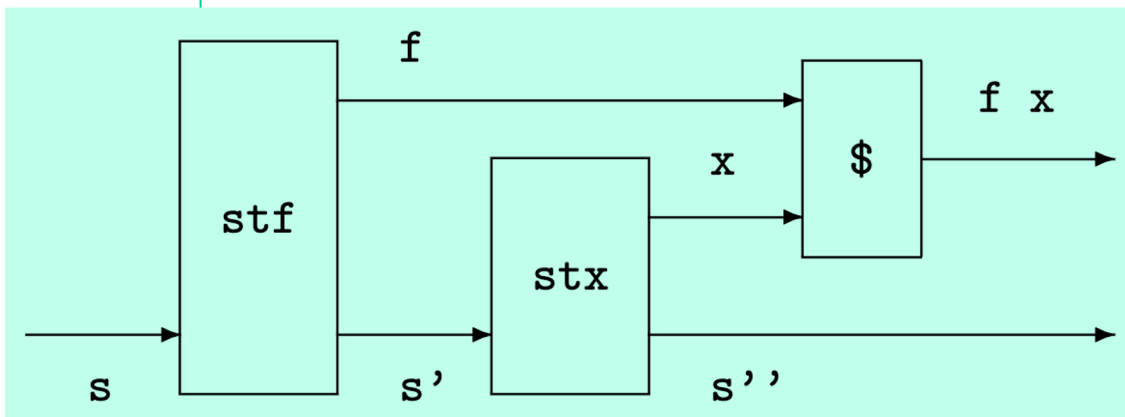
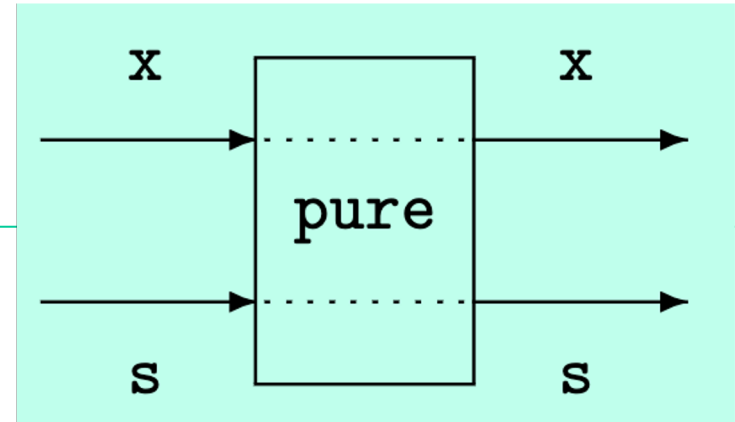
instance Applicative ST where

-- pure :: a -> ST a

pure x = S (\s -> (x, s))

-- (<*>) :: ST (a -> b) -> ST a -> ST b

stf <*> stx = S (\s -> **let** (f, s') = app stf s
 (x, s'') = app stx s'
in (f x, s''))



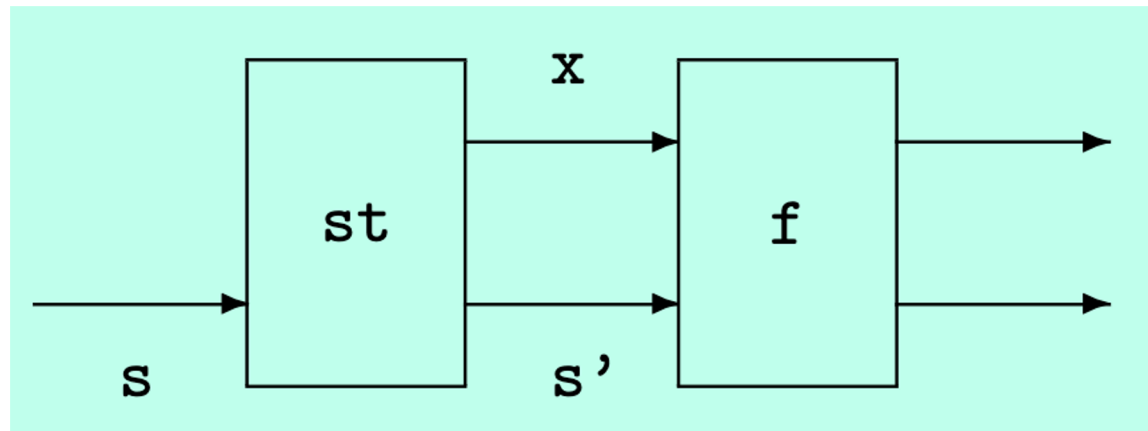
例：The State Monad

- Monad

```
instance Monad ST where
```

```
-- (>>=) :: ST a -> (a -> ST b) -> ST b
```

```
st >>= f =
```



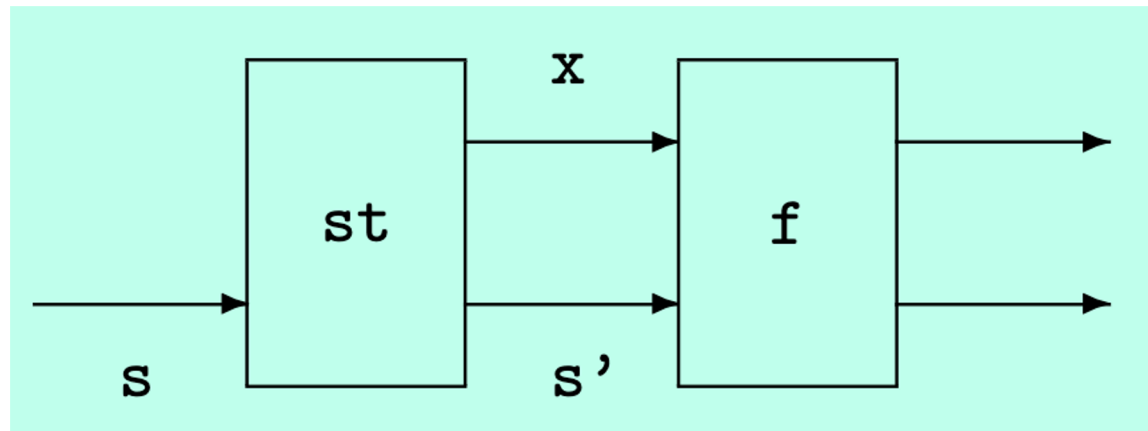
例：The State Monad

- Monad

```
instance Monad ST where
```

```
-- (>>=) :: ST a -> (a -> ST b) -> ST b
```

```
st >>= f = S (\s -> let (x, s') = app st s  
                in app (f x) s')
```



应用：树的重新标注

Consider the problem of defining a function that relabels each leaf in such a tree with a unique or fresh integer.

```
data Tree a = Leaf a | Node (Tree a) (Tree a)
           deriving Show
```

```
tree :: Tree Char
tree = Node (Node (Leaf 'a') (Leaf 'b')) (Leaf 'c')
```

```
> relabel tree
Node (Node (Leaf 0) (Leaf 1)) (Leaf 2)
```

解法1

```
rlabel :: Tree a -> Int -> (Tree Int, Int)
rlabel (Leaf _) n = (Leaf n, n+1)
rlabel (Node l r) n = (Node l' r', n'')
                        where (l', n') = rlabel l n
                              (r', n'') = rlabel r n'

relabel t = fst (rlabel t 0)
```

Note: This definition for `rlabel` is complicated by the need to explicitly thread an integer state through the computation.

解法2: 用Applicative

```
fresh :: ST Int
```

```
fresh = S (\n -> (n, n+1))
```

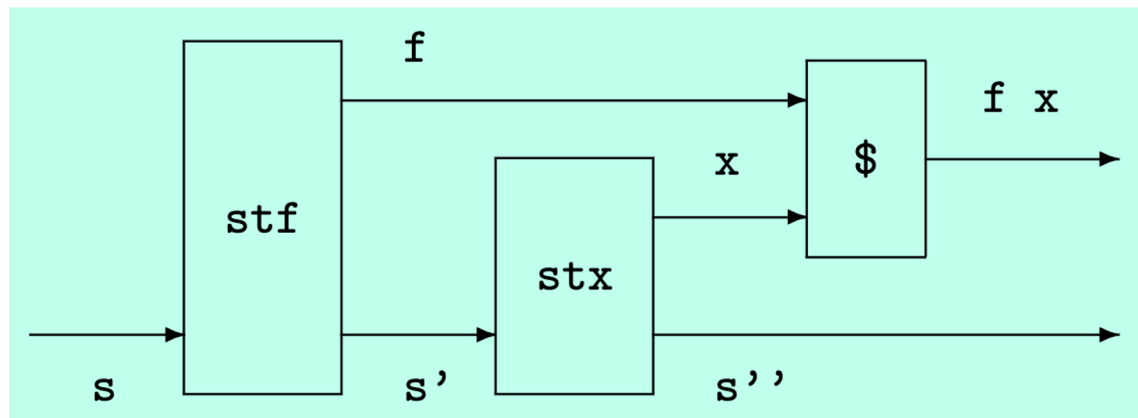
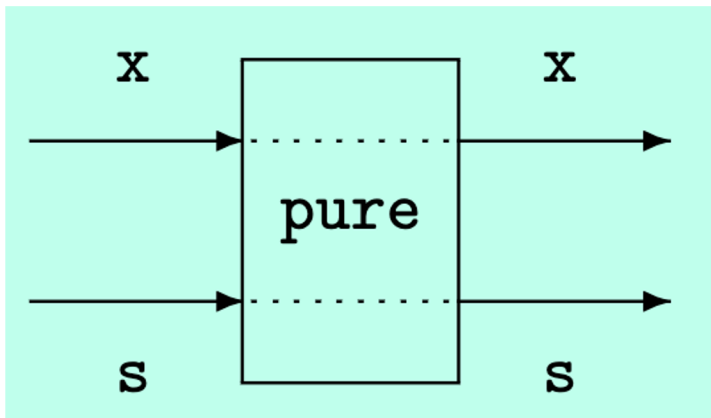
```
alabel :: Tree a -> ST (Tree Int)
```

```
alabel (Leaf _) = Leaf <$> fresh
```

```
alabel (Node l r) = Node <$> alabel l <*> alabel r
```

```
relabel t = fst (app (alabel tree) 0)
```

$\langle \$ \rangle = \text{`fmap`}$
or
 $g \langle \$ \rangle x = \text{pure } g \langle * \rangle x$



解法3: 用Monad

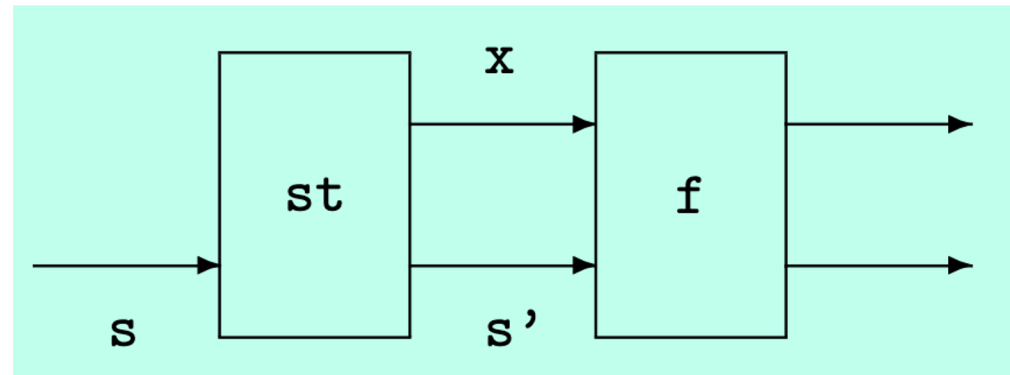
```
mlabel :: Tree a -> ST (Tree Int)
mlabel (Leaf _) = do n <- fresh
                    return (Leaf n)
mlabel (Node l r) = do l' <- mlabel l
                       r' <- mlabel r
                       return (Node l' r')

relabel t = fst (app (mlabel t) 0)
```



```
mlabel :: Tree a -> ST (Tree Int)
mlabel (Leaf _) = fresh >>= \n -> return (Leaf n)
mlabel (Node l r) = mlabel l >>= \l' ->
                    mlabel r >>= \r' -> return (Node l' r')

relabel t = fst (app (mlabel t) 0)
```



Monad Laws

```
return x >>= f = f x
mx >>= return = mx
(mx >>= f) >>= g = mx >>= (\x -> (f x >>= g))
```

```
class Applicative m => Monad m where
  return :: a -> m a
  (>>=)  :: m a -> (a -> m b) -> m b

return = pure
```



课堂练习

1. Define an instance of the Functor class for the following type of binary trees that have data in their nodes:

```
data Tree a = Leaf | Node (Tree a) a (Tree a)
              deriving Show
```

2. Complete the following instance declaration to make the partially-applied function type $(a \rightarrow)$ into a functor:

```
instance Functor ((->) a) where
```

...

3. Define an instance of the Applicative class for the type $(a \rightarrow)$.

作业

12-1 Define an instance of the Monad class for the type $(a \rightarrow)$.

12-2 Given the following type of expressions

```
data Expr a = Var a | Val Int | Add (Expr a) (Expr a)
```

deriving Show

that contain variables of some type a , show how to make this type into instances of the Functor, Applicative and Monad classes. With the aid of an example, explain what the $>>=$ operator for this type does.